

THE EXTENSION OF STARSHAPED BOUNDED LIPSCHITZ FUNCTIONS

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1. Let X be a normed space and Y a nonvoid subset of X . The set Y is called *starshaped* (with respect to $\theta \in X$) if $\alpha y \in Y$ for all $\alpha \in [0, 1]$ and $y \in Y$. A real function f defined on Y is called *starshaped* if

$$(1.1) \quad f(\alpha y) \leq \alpha f(y),$$

for all $\alpha \in [0, 1]$ and $y \in Y$. From (1.1) follows $f(\theta) \leq 0$. In the following we consider only starshaped functions vanishing at θ . Y will denote always a starshaped set.

A function $f: Y \rightarrow R$ is called Lipschitz on Y if there exists $K \geq 0$ such that

$$(1.2) \quad |f(x) - f(y)| \leq K \cdot \|x - y\|,$$

for all $x, y \in Y$. Denote by $\text{Lip}_0 Y$ ($\text{Lip}_0 X$) the space of all Lipschitz functions on Y (respectively on X) vanishing at $\theta \in Y \subset X$ ([5]) and by $\text{BLip}_0 Y$ ($\text{BLip}_0 X$) their subspaces formed of bounded functions on Y (respectively on X). Denote also

$$(1.3) \quad \text{BS}_Y = \{f: f \in \text{BLip}_0 Y, f \text{ is starshaped}\},$$

$$(1.4) \quad \text{BS}_X = \{F: F \in \text{BLip}_0 X, F \text{ is starshaped}\}.$$

The sets BS_Y and BS_X are convex cones in $BLip_0 Y$ and in $BLip_0 X$, respectively, i.e. $f + g$ and λf are in BS_Y (in BS_X) for all f, g in BS_Y (in BS_X) and $\lambda \geq 0$.

For $f \in BS_Y$ ($f \in BLip_0 Y$) put

$$(1.5) \quad \|f\|_a = \sup \{ |f(y_1) - f(y_2)| / \|y_1 - y_2\| : y_1, y_2 \in Y, y_1 \neq y_2 \}$$

the Lipschitz norm of f , and

$$(1.6) \quad \|f\|_\infty = \sup \{ |f(y)| : y \in Y \},$$

the uniform norm of f .

For $F \in BLip_0 X$, the Lipschitz and the uniform norms are defined similarly.

2. It is well known (see e.g. [4]) that a Lipschitz function f defined on a nonvoid subset Y of a metric space X has a norm preserving Lipschitz extension F on X , i.e. $F|_Y = f$ and $\|F\|_a = \|f\|_a$. In [1] it was shown that if Y is a nonvoid convex subset of a normed space X , then every convex Lipschitz function on Y has a convex norm preserving Lipschitz extension F on X . In a similar result was established for star-shaped Lipschitz function [7].

This Note is concerned with the problem of extension of bounded star-shaped Lipschitz functions, i.e. for $f \in BS_Y$ find $F \in BS_X$ such that $F|_Y = f$, $\|F\|_a = \|f\|_a$ and $\|F\|_\infty = \|f\|_\infty$. The function F is called briefly an *extension* of f . We consider the problem of existence and unicity of such an extension.

Remark, that similar problem for bounded convex Lipschitz functions has a trivial answer: if Y is a convex subset of X , such that $0 \in Y$, then the nul function is the only bounded convex (Lipschitz) function on Y which has a bounded convex Lipschitz extension on X . This follows from the fact that the constant functions are only bounded convex functions on X . Indeed, if $F: X \rightarrow R$ is a nonconstant convex function, then there exist two points $x_0, x_1 \in X$ such that $F(x_0) \neq F(x_1)$, say $F(x_0) < F(x_1)$. But then, since the function $\varphi: (0, \infty) \rightarrow R$, defined by

$$\varphi(t) = \frac{F(x_0 + t(x_1 - x_0)) - F(x_0)}{t}, \quad t > 0$$

is nondecreasing (see [2] p. 17), it follows that

$$\frac{F(x_0 + t(x_1 - x_0)) - F(x_0)}{t} \geq F(x_1) - F(x_0) > 0,$$

so that $F(x_0 + t(x_1 - x_0)) \geq F(x_0) + t[F(x_1) - F(x_0)]$, for all $t \geq 1$, which shows that the function F is unbounded.

2a. *The existence of extension.* In Theorem 1 below will be shown that under some supplementary hypotheses on the function $f \in BS_Y$ there exists an extension $F \in BS_X$ of f .

Firstly, we prove two lemmas.

LEMMA 1. Let X be a real normed space and f a starshaped function on X . Then, for every $x \in X$, $x \neq 0$, the function $\Psi: (0, \infty) \rightarrow R$, defined by

$$(2.1) \quad \Psi(t) = f(tx)/t, \quad t > 0$$

is nondecreasing.

Proof. For $0 < t_1 < t_2$ and a fixed $x \in X$, $x \neq 0$, we have

$$\frac{f(t_1 x)}{t_1} = \frac{f((t_1/t_2)t_2 x)}{t_1} \leq \frac{(t_1/t_2)f(t_2 x)}{t_1} = \frac{f(t_2 x)}{t_2}.$$

Now, for a function $f: X \rightarrow R$ define, as usually, the *epigraph* of f , by

$$(2.2) \quad \text{epi } f = \{(x, \alpha) \in X \times R : f(x) \leq \alpha\}.$$

LEMMA 2. A function $f: X \rightarrow R$, $f(0) = 0$, is starshaped if and only if its epigraph is starshaped.

Proof. If f is starshaped, $f(0) = 0$, and $(x, \alpha) \in \text{epi } f$, then for every $\lambda \in [0, 1]$, $f(\lambda x) \leq \lambda f(x) \leq \lambda \alpha$, so that $\lambda(x, \alpha) = (\lambda x, \lambda \alpha) \in \text{epi } f$. Conversely, if $\text{epi } f$ is starshaped then $(x, f(x)) \in \text{epi } f$ implies $(\lambda x, \lambda f(x)) \in \text{epi } f$, i.e. $f(\lambda x) \leq \lambda f(x)$, for all $\lambda \in [0, 1]$.

THEOREM 1. Let X be a normed space, Y a starshaped subset of X , $0 \in Y$, and $f \in BS_Y$. Then, there exists $F \in BS_X$ such that

$$(i) \quad F|_Y = f,$$

$$(ii) \quad \|F\|_a = \|f\|_a,$$

$$(iii) \quad \|F\|_\infty = \|f\|_\infty,$$

if and only if $f(y) \leq 0$, for all $y \in Y$.

Proof. Let $f \in BS_Y$ and suppose $f \leq 0$ on Y . Define $G: X \rightarrow R$ by

$$(2.3) \quad G(x) = \inf_{y \in Y} [f(y) + \|f\|_a \|x - y\|].$$

The function G defined by (2.3) is starshaped and satisfies $G|_Y = f$, $\|G\|_a = \|f\|_a$ (see [7]).

Let

$$(2.4) \quad F(x) = \begin{cases} 0 & \text{if } G(x) > 0, \\ G(x) & \text{if } G(x) \leq 0. \end{cases}$$

Since $G|_Y = f \leq 0$, it follows $F|_Y = f$ and $\|F\|_a = \|f\|_a$. Obviously $\|F\|_\infty \geq \|f\|_\infty$. If $x \in X$ is such that $G(x) \leq 0$, then

$$0 \geq F(x) = G(x) \geq f(y) + \|f\|_a \cdot \|x - y\| \geq f(y),$$

so that $0 \leq -F(x) \leq -f(y)$, for all $y \in Y$. Therefore $\|F\|_\infty = \inf_{x \in X} (-F(x)) \leq \|f\|_\infty$ and $\|F\|_\infty = \|f\|_\infty$. Since the epigraph of F is starshaped, by Lemma 2, F is starshaped. Consequently, F is the required extension of f .

Suppose now, that there exists $y_0 \in Y$ such that $f(y_0) > 0$, and let F be a starshaped extension of f . By Lemma 1

$$0 < f(y_0) = F(y_0) \leq (F(ty_0)/t),$$

so that $F(ty_0) \geq tf(y_0)$, for all $t \geq 1$, which shows that the function F is unbounded. Therefore f has no bounded starshaped extension, which ends the proof of Theorem 1.

Let

$$(2.5) \quad \begin{aligned} \text{BS}_Y^- &= \{f \in \text{BS}_Y : f \leq 0\}, \\ \text{BS}_X^- &= \{F \in \text{BS}_X : F \leq 0\}. \end{aligned}$$

By Theorem 1 follows:

COROLLARY 1. Every function $f \in \text{BS}_Y^-$ has an extension $F \in \text{BS}_X^-$.

2b. The unicity of extension. By Theorem 1 and Corollary 1, every nonpositive bounded starshaped function, defined on a starshaped subset Y of a normed space X , has a nonpositive bounded starshaped extension to whole X . Furthermore, these are the only bounded starshaped function on Y admitting bounded starshaped Lipschitz extension on X .

Equipped with the norms

$$(2.6) \quad \begin{aligned} \|f\|_Y &= \max(\|f\|_a, \|f\|_\infty), \quad f \in \text{BLip}_0 Y \\ \|F\|_X &= \max(\|F\|_a, \|F\|_\infty), \quad F \in \text{BLip}_0 X \end{aligned}$$

$\text{BLip}_0 Y$ and $\text{BLip}_0 X$ become Banach spaces (see [3]). Let $H = \text{BS}_X^- - \text{BS}_X^-$ the subspace of $\text{BLip}_0 X$ generated by the convex cone BS_X^- and

$$Y^\perp = \{g \in H : g|_Y = 0\},$$

the annihilator of the set Y in H . Obviously, Y^\perp is a subspace of H . A subset Z of a normed space X is called proximal for $W \subset X$ if for every $f \in W$ there exists $g_0 \in Z$, such that

$$(2.7) \quad \|f - g_0\| = d(f, Z) = \inf \{\|f - g\| : g \in Z\}.$$

If for every $f \in W$ the element $g_0 \in Z$ satisfying (2.7) is unique then the set Z is called Chebyshevian for W . An element $g_0 \in Z$ satisfying (2.7) is called an element of best approximation of f by elements of Z .

THEOREM 2. Y^\perp is a Chebyshevian subspace for BS_X^- if and only if, every $f \in \text{BS}_Y^-$ has a unique (preserving the uniform and Lipschitz norms) extension F in BS_X^- .

Proof. Follows from Theorem 1 in [6].

Remark. Observe that Theorem 2 remains true if the spaces $\text{BLip}_0 Y$ and $\text{BLip}_0 X$ are equipped with the norms

$$(2.8) \quad \|f\|_1 = \|f\|_a + \|f\|_\infty, \text{ for } f \in \text{BLip}_0 Y \text{ (respectively } \text{BLip}_0 X)$$

Theorem 2 is analogous with a theorem of PHELPS [8], in the linear case.

3. Now, we try to find conditions on the function f ensuring the unicity of the extension.

Consider, firstly, the case $X = R$ with the usual norm $|\cdot|$ (the absolute value).

THEOREM 3. Let $Y = [a, b] \subset R$, $a < 0 < b$. A function $f \in \text{BS}_Y^-$ has a unique extension $F \in \text{BS}_R^-$ if and only if $f(a) = f(b) = 0$.

Proof. Suppose that $f \in \text{BS}_Y^-$ has two distinct extensions F_1, F_2 in BS_R^- . Let $x \in R \setminus [a, b]$ be such that $F_1(x) \neq F_2(x)$, say $F_1(x) < F_2(x) \leq 0$. Suppose $x > b$. The function F_1 being starshaped it follows

$$F_1(\lambda x) \leq \lambda F_1(x) < 0,$$

for all $\lambda \in (0, 1]$. In particular, since $b = \lambda_b x$ for $\lambda_b \in (0, 1)$, it follows $f(b) = F_1(b) < 0$. If $x < a$, then $a = \lambda_a x$, for a $\lambda_a \in (0, 1)$, and similarly, $f(a) = F_1(a) < 0$.

Conversely, we shall show that if $f \in \text{BS}_Y^-$ is such that $f(a) < 0$ or $f(b) < 0$, then f has at least two distinct extensions F_1 and F_2 in BS_R^- . If $f(b) < 0$, then

$$F_1(x) = \begin{cases} f(x), & x \in [0, b] \\ f(b) + \|f\|_a(x - b), & x \in (b, b - f(b)(\|f\|_a)^{-1}] \\ 0, & x \in (-\infty, a) \cup (b - f(b) \cdot (\|f\|_a)^{-1}, +\infty) \end{cases}$$

and

$$F_2(x) = \begin{cases} f(x) & , x \in [0, b] \\ (f(b)/b)x, & x \in (b, (-\|f\|_\infty/f(b))b) \\ -\|f\|_\infty & , x \in [-(\|f\|_\infty/f(b))b, +\infty) \\ 0 & , x \in (-\infty, a) \end{cases}$$

are two distinct extensions of f , i.e. $F_1 \neq F_2$, $F_1|_Y = F_2|_Y = f$, $\|F_1\|_a = \|F_2\|_a = \|f\|_a$, $\|F_1\|_\infty = \|F_2\|_\infty = \|f\|_\infty$.

$F_1(x) \neq F_2(x)$ for all $x > \max \{b - f(b) \cdot (\|f\|_a)^{-1}; -\|f\|_\infty \cdot (f(b))^{-1}\}$.
 If $f(a) < 0$, then two distinct extensions F_1, F_2 , may be given, in a similar way.

Remark. The hypothesis $a < 0 < b$ in Theorem 3 is essential as it is shown by the following example. Take $Y = [0, b]$, $b > 0$ or $Y = [a, 0]$, $a < 0$. Then every $f \in BS_Y$ has an infinite set of extensions in BS_X . For example, if $Y = [0, b]$ and $f \in BS_Y$ is such that $f(0) = 0, f(b) = 0$, then

$$F_\lambda(x) = \begin{cases} f(x) & , x \in [0, b], \\ 0 & , x \in (0, +\infty) \\ \|f\|_a x & , x \in (-\lambda\|f\|_\infty \cdot (\|f\|_a)^{-1}, 0) \\ -\lambda\|f\|_\infty & , x \in (-\infty, -\lambda\|f\|_\infty(\|f\|_a)^{-1}) \end{cases}$$

is an extension of f for every $\lambda \in [0, 1]$.

Consider now the general case. For $x \in X, x \neq \theta$, the ray $\overrightarrow{\theta x}$ is defined by

$$\overrightarrow{\theta x} = \{\alpha x : \alpha \geq 0\}.$$

If the set Y is starshaped and $y \in Y, y \neq \theta$, then $\overrightarrow{\theta y} \subset Y$ or $\overrightarrow{\theta y} \cap Y$ is a segment. In the second case put

$$\alpha_y = \sup \{\alpha : \alpha y \in Y\},$$

and $z_y = \alpha_y \cdot y$. The set $\{z_y : y \in Y\}$ is called *the algebraic starshaped boundary* of Y and is denoted by $F_r^s Y$.

Evidently, every $z \in F_r^s Y$ is a limit point of Y , i.e. $Y \cup F_r^s Y \subset \bar{Y}$. Since every $f \in BS_Y$ is uniformly continuous (as Lipschitz) it can be uniquely extended to $Y \cup F_r^s Y$. Therefore with no restriction of generality, we can suppose $F_r^s Y \subset Y$.

THEOREM 4. *Let Y be an absorbing starshaped subset of the normed space X , such that $F_r^s Y \subset Y$. If $f \in BS_Y$ is such that $f(z) = 0$, for all $z \in F_r^s Y$, then f has a unique extension $F \in BS_X$.*

Proof. Suppose $f \in BS_Y, f(z) = 0$, for all $z \in F_r^s Y$, and suppose that f has two distinct extensions F_1, F_2 in BS_X . Let $x \in X \setminus Y$ be such that $F_1(x) \neq F_2(x)$, say $F_1(x) < F_2(x) \leq 0$. The set Y being absorbing and starshaped, there exists $\lambda > 0$ such that $\lambda x \in F_r^s Y$. But then, one obtains the contradiction

$$0 = f(\lambda x) = F_1(\lambda x) \leq \lambda F_1(x) < 0.$$

Theorem 4 is proved.

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