

DETERMINING SETS FOR FINITELY DEFINED OPERATORS

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1. In this paper we characterize determining sets and Korovkin sets for finitely defined operators, in terms of an appropriate concept of „quasi peak point” (see BERENS—LORENTZ [1]). The sets of this type have been investigated by SHASHKIN [8], MICCHELLI [5], [6], CAVARETTA [3], RUSK [7].

Let Q be a compact metric space. \mathfrak{S}_+ will be the cone of positive linear operators on $C(Q)$, and \mathfrak{L}_+ will be the cone of positive linear functionals on $C(Q)$. Let n be a positive integer. We define \mathfrak{D}_n to be the set of functionals μ in \mathfrak{L}_+ for which the support of the representing Radon measure contains a number of points no greater than n .

For q in Q let \hat{q} be the evaluation functional.

If T is a bounded linear operator on $C(Q)$, let T^* denote the adjoint of T . \mathfrak{F}_n will be the set of finitely defined (of order n) positive linear operators, i.e. T is in \mathfrak{F}_n if for every q in Q , $T^*\hat{q}$ is in \mathfrak{D}_n .

A subspace X of $C(Q)$ is said to be a Korovkin set for an operator T in \mathfrak{F}_+ if for any sequence of operators (T_n) in \mathfrak{F}_+ the convergence of $T_n f$ to Tf in the uniform norm for all f in X implies the convergence of $T_n f$ to Tf for all f in $C(Q)$.

We say that the subspace X is a determining set for T if for any S in \mathfrak{F}_+ the equality $Sf = Tf$ for all f in X implies $S = T$.

The corresponding concepts of Korovkin set and determining set for positive linear functionals are defined in the obvious way.

We use the following result (see [4], [6], [7]).

THEOREM 1. *A subspace X of $C(Q)$ is a Korovkin set for an operator T in \mathfrak{F}_+ if and only if X is a determining set for $T^*\hat{q}$ for all q in Q .*

A point p in Q is said to be a quasi peak point for the subspace X if for any $0 < \varepsilon < 1$ and any neighborhood U of p there exists g in X such that

- (i) $g(q) \geq 0$ for all q in Q ;
- (ii) $g(p) < \varepsilon$;
- (iii) $g(q) \geq 1$ for all q in $Q \setminus U$.

For f in $C(Q)$ and q in Q let us denote

$$\bar{f}_X(q) = \inf \{g(q) : f \leq g \in X\};$$

$$f_X(q) = \sup \{g(q) : f \geq g \in X\}.$$

Let ∂X be the Choquet boundary of X , i.e. the set of all q in Q such that X is determining for \hat{q} .

For the following result the reader is referred to [1], [6].

THEOREM 2. Let X be a subspace of $C(Q)$. The following are equivalent:

- (a) X is a Korovkin set for the identity operator;
- (b) $\partial X = Q$;
- (c) every q in Q is a quasi peak point for X ;
- (d) $f_X = \bar{f}_X$ for all f in $C(Q)$.

2. Let n be a positive integer and suppose $\text{card } Q \geq n + 1$. We say that X is a W_n -subspace of $C(Q)$ if for any system (q_i, V_i) , $i = 1, \dots, n$, where q_i are distinct points in Q and V_i is an open neighborhood of q_i , and for any $\varepsilon > 0$ there exists g in X such that:

- (1) $g(q) \geq 0$ for all q in Q ;
- (2) $g(q_i) < \varepsilon$, $i = 1, \dots, n$;

- (3) $g(q) \geq 1$ for all q in $Q \setminus \bigcup_1^n V_i$.

Let X be a subspace of $C(Q)$, f in $C(Q)$ and μ in \mathfrak{L}_+ . Let us denote $\mu_X(f) = \inf \{\mu(g) : f \leq g \in X\}$.

THEOREM 3. The following are equivalent:

- (a) X is a Korovkin set for all μ in \mathfrak{D}_n ;
- (b) X is a determining set for all μ in \mathfrak{D}_n ;
- (c) $\mu_X(f) = \mu(f)$ for all μ in \mathfrak{D}_n and all f in $C(Q)$;
- (d) X is a W_n -subspace;
- (e) X is a Korovkin set for all T in \mathfrak{F}_n ;
- (f) X is a determining set for all T in \mathfrak{F}_n .

Proof. (a) \Rightarrow (b) is obvious.

(b) \Rightarrow (c). Let X be a determining set for all μ in \mathfrak{D}_n . Let μ be in \mathfrak{D}_n and f in $C(Q)$. Then X contains a strictly positive function (see FERGUSON, RUSK [4]). Lemma 1.3 [2] is now applicable, and

$$\mu_X(f) = \sup \{\nu(f) : \nu \text{ is in } \mathfrak{L}_+, \nu|_X = \mu|_X\} = \sup \{\nu(f) : \nu = \mu\} = \mu(f).$$

(c) \Rightarrow (d). Let q_1, \dots, q_n be distinct points in Q , V_1, \dots, V_n open neighborhoods for these points, and $\varepsilon > 0$. By the Urysohn lemma there

exists f in $C(Q)$ such that:

$$(4) 0 \leq f \leq 1;$$

$$(5) f(q_i) = 0, i = 1, \dots, n;$$

$$(6) f(q) = 1, \text{ for all } q \text{ in } Q \setminus \bigcup_1^n V_i.$$

Define $\mu = \sum_1^n \hat{q}_i$. Thus μ is in \mathfrak{D}_n and by (c), $\mu_X(f) = \mu(f)$. Hence there exists g in X , $g \geq f$, $\mu(g) - \mu(f) < \varepsilon$. Therefore $g \geq 0$, $g(q) \geq 1$ for all q in $Q \setminus \bigcup_1^n V_i$. From $\mu(f) = \sum_1^n f(q_i) = 0$ we obtain $\mu(g) < \varepsilon$, hence $g(q_i) < \varepsilon$, $i = 1, \dots, n$. Therefore X is a W_n -subspace.

(d) \Rightarrow (b). Let μ be in \mathfrak{D}_n and ν be in \mathfrak{L}_+ such that $\mu(f) = \nu(f)$ for all f in X . If $\mu = \sum_1^n a_i \hat{q}_i$ where a_i are nonnegative real numbers and q_i are distinct points in Q , we show that $\text{supp } \nu \subset \{q_1, \dots, q_n\}$.

Let y be in $\text{supp } \nu \setminus \{q_1, \dots, q_n\}$, and let V_y, V_1, \dots, V_n be disjoint neighborhoods for y, q_1, \dots, q_n . We denote the representing Radon measure of ν also by ν . Since $y \in \text{supp } \nu$ we have $\nu(V_y) = c > 0$.

By (d), for any $\varepsilon > 0$ there exists g in X such that $g \geq 0$, $g(q_i) < \varepsilon$, $i = 1, \dots, n$, and $g(q) \geq 1$ for all q in $Q \setminus \bigcup_1^n V_i$.

If q is in V_y , then $g(q) \geq 1$. Therefore

$$0 < c \leq \int g d\nu \leq \int g d\nu = \nu(g) = \mu(g) = \sum_1^n a_i g(q_i) \leq \varepsilon \sum_1^n a_i.$$

If $\varepsilon \rightarrow 0$, we obtain $0 < c \leq 0$, a contradiction. Hence $\nu = \sum_1^n b_i \hat{q}_i$, $b_i \geq 0$.

Let q_{n+1} be in $Q \setminus \{q_1, \dots, q_n\}$, and let V_i be a neighborhood for q_i , $i = 2, \dots, n + 1$ such that q_1 is not in $\bigcup_2^{n+1} V_i$. For any $\varepsilon > 0$ there exists g in X , $g \geq 0$, $g(q_i) < \varepsilon$, $i = 2, \dots, n + 1$, $g(q_1) \geq 1$. Therefore

$$0 = \mu(g) - \nu(g) = (a_1 - b_1)g(q_1) + \sum_2^n (a_i - b_i)g(q_i);$$

$$|a_1 - b_1| \leq |a_1 - b_1|g(q_1) = \left| \sum_2^n (a_i - b_i)g(q_i) \right| \leq \varepsilon \sum_2^n |a_i - b_i|.$$

If $\varepsilon \rightarrow 0$ we obtain $a_1 = b_1$. A similar argument shows that $a_i = b_i$, $i = 2, \dots, n$, and hence $\nu = \mu$.

(c) \Rightarrow (a). Let μ be in \mathcal{D}_n , and let (μ_k) be a sequence in \mathcal{L}_+ such that $\lim \mu_k(g) = \mu(g)$ in the uniform norm, for all g in X . Let f be in $C(Q)$. By (c), $\mu_X(f) = \mu(f)$. For any $\varepsilon > 0$ there exists g in X such that

$$(7) \quad g \geq f;$$

$$(8) \quad \mu(g) - \mu(f) \leq \varepsilon.$$

From $\mu_X(-f) = \mu(-f)$ it follows that there exists h in X such that

$$(9) \quad h \geq -f;$$

$$(10) \quad \mu(h) - \mu(-f) \leq \varepsilon.$$

Hence $-h \leq f \leq g$, i.e. $-\mu_k(h) \leq \mu_k(f) \leq \mu_k(g)$. From (8) and (10) we obtain now

$$\begin{aligned} \mu(f) - \varepsilon &\leq -\mu(h) = \lim (-\mu_k(h)) \leq \liminf \mu_k(f) \leq \limsup \mu_k(f) \leq \\ &\leq \lim \mu_k(g) = \mu(g) \leq \mu(f) + \varepsilon. \end{aligned}$$

Thus $\lim \mu_k(f) = \mu(f)$ for all f in $C(Q)$.

(e) \Rightarrow (f) is obvious.

(f) \Rightarrow (b). Let μ be in \mathcal{D}_n and let μ_1 be in \mathcal{L}_+ such that $\mu_1(g) = \mu(g)$ for all g in X . We define the operators T and T_1 on $C(Q)$ by $T(f) = \mu(f) \cdot 1$, $T_1(f) = \mu_1(f) \cdot 1$, where 1 is the constant function. Then T_1 is in \mathcal{F}_+ and $T^* \hat{q} = \mu$ for all q in Q , hence T is in \mathcal{F}_n . Moreover, $T_1(g) = T(g)$ for all g in X . By (f), $T_1 = T$, and then $\mu_1 = \mu$.

(b) \Rightarrow (e) is a consequence of theorem 1.

Remark. If X is an n^{th} order Korovkin space (see CAVARETTA [3]), then X is a W_n -subspace. Hence from theorem 3 ((d) \Rightarrow (e)) we obtain Cavaretta's theorem 2 [3].

3. Let Q be a compact interval or a circle.

THEOREM 4. If X is a W_n -subspace of $C(Q)$ and if $\dim X = 2n + 1$, then X is a Cebîșev subspace.

Proof. We employ the same argument as MICCHELLI [5, theorem 4]. Let X be the linear span of g_0, g_1, \dots, g_{2n} .

Suppose X is not a Cebîșev subspace. Then there exist $2n + 1$ distinct points q_0, \dots, q_{2n} in Q , and $2n + 1$ real numbers a_0, \dots, a_{2n} , not all equal to zero, such that

$$(11) \quad \sum_{j=0}^{2n} a_j g_j(q_i) = 0, \quad i = 0, 1, \dots, 2n$$

Put $M = \{j: a_j > 0\}$. Multiplying, if necessary, (11) by -1 , we may assume $\text{card } M \leq n$. Define the functionals μ, ν by

$$\mu = \sum_{j \in M} a_j \hat{q}_j \quad (\mu = 0 \text{ if } M = \emptyset), \quad \nu = - \sum_{j \notin M} a_j \hat{q}_j.$$

From (11) we obtain $\mu(g) = \nu(g)$ for all g in X . Moreover, μ is in \mathcal{D}_n , and ν is in \mathcal{L}_+ . By theorem 3, X is determining for μ , hence $\mu = \nu$. This contradicts the assumption that q_0, \dots, q_{2n} are distinct and a_0, \dots, a_{2n} are not all equal to zero.

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Received 18. IX. 1980