

AN APPROXIMATION OF THE HELMHOLTZ EQUATION
 ON FINITE ELEMENTS AND DIFFERENCES

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1. Introduction. a) *The Helmholtz Equation.* Let us consider the Helmholtz equation with the Dirichlet condition :

$$(1) \quad Au \equiv - \frac{\partial}{\partial x} \left(p \frac{\partial u}{\partial x} \right) - \frac{\partial}{\partial y} \left(q \frac{\partial u}{\partial y} \right) + ru(x, y) = f(x, y), \quad (x, y) \in \Omega$$

$$(1a) \quad u = g(x, y), \quad (x, y) \in \Gamma$$

where u is the unknown function inside the convex domain Ω of R^2 with the boundary Γ (sufficiently smooth) and p, q, r, f and g are prescribed bounded functions with properties to provide a unique solution for u in a space in which the problem (1)—(1a) is to be solved. $D(A)$ will be the definition domain of the Helmholtz operator A .

Remarks. It may be presumed that $\partial p/\partial x, \partial q/\partial y, r, f, g \in C(\bar{\Omega})$ and $r(x, y) \geq 0, p(x, y) > 0, q(x, y) > 0, (x, y) \in \bar{\Omega}$; then, there exists the solution $u \in D(A) = \{u | u \in C^2(\Omega), u_\Gamma = g\}$. In the same way, for example, for the solution $u \in H^2(\Omega)$ the conditions $f \in L_2(\Omega)$ and $g \in H^{3/2}(\Omega)$ — with $p = q = r = \text{const} > 0$ — are necessary and sufficient.

— We consider $D(A)$ to be within the linear space of functions

$$H^1(\Omega) = \left\{ w | w, \frac{\partial w}{\partial x}, \frac{\partial w}{\partial y} \in L_2(\Omega) \right\}$$

in which the scalar product (\cdot, \cdot) , the norm $\|\cdot\|$ as well as the symmetrical bilinear form $a(u, v)$ are defined by the equalities

$$(u, v)_{H^1} = \iint_{\Omega} (uv + \nabla u \cdot \nabla v) \, dx \, dy, \quad \|u\|^2 = \iint_{\Omega} (u^2 + |\nabla u|^2) \, dx \, dy$$

$$a(u, v) = \iint_{\Omega} \left(p \frac{\partial u}{\partial x} \frac{\partial v}{\partial x} + q \frac{\partial u}{\partial y} \frac{\partial v}{\partial y} + ruv \right) \, dx \, dy$$

The following linear spaces are also introduced

$$H_0^1(\Omega) = \{w | w \in H^1(\Omega), w_\Gamma = 0\}, \quad H_g^1 = \{w | w \in H^1(\Omega), w_\Gamma = g, \quad g \in H^{1/2}(\Gamma)\}$$

Remark. $H^1(\Omega)$ is a Hilbert space (the energy space of the operator A , where $D(A)$ is dense).

We shall consider the problem in weak (generalized) formulation in the space $H_g^1(\Omega)$ (and not in $D(A)$).

PROPOSITION 1. *The Helmholtz equation is written in the integral form (weak formulation)*

$$(2) \quad a(u, v) = (f, v), \forall v \in H_0^1(\Omega), u \in H_g^1(\Omega)$$

Proof. We perform a scalar multiplication of the L_2 type of equation (1) by an arbitrary function $v \in H_0^1(\Omega)$; the Green formula and the condition $v_\Gamma = 0$ are applied, subsequently.

DEFINITION. *The unique solution $u \in H_g^1(\Omega)$ of equation (2) is called a generalized solution of the problem (1) — (1a).*

PROPOSITION 2. *The generalized solution of the problem (1) — (1a) if p, q, r are non-negative minimizes the energy functional*

$$(3) \quad F(u) = a(u, u) - 2(f, u), u \in H_g^1(\Omega)$$

Proof. Let us consider $u \in H_g^1(\Omega)$ the generalized solution and the function $w = u + \alpha v$ with $v \in H_0^1(\Omega)$ and $\alpha \in R^1$ an arbitrary parameter (thus, $w \in H_g^1(\Omega)$). We have

$$(4) \quad F(w) = F(u + \alpha v) = a(u, u) + \alpha^2 a(v, v) + 2\alpha a(u, v) - 2(f, u) - 2\alpha(f, v).$$

Hence, since we have $a(u, v) = (f, v), \forall v \in H_0^1(\Omega)$, we get

$$F(w) - F(u) = \alpha^2 a(v, v) \geq 0 \text{ if } p, q, r \geq 0$$

Now, let us consider (reciprocally) $u \in H_g^1(\Omega)$ the function that minimizes the energy functional F , (3), and $w = u + \alpha v, \alpha \in R^1$ admissible functions [from $H_g^1(\Omega)$; thus $v \in H_0^1(\Omega)$]. The functional $F(u + \alpha v)$ for fixed u and v (u — extremal and v arbitrary fixed) becomes a function of α which is to be minimal for $\alpha = 0$. Therefore, we necessarily have $\partial F / \partial \alpha = 0$ for $\alpha = 0$ which leads to (see (4)) the equality $a(u, v) - (f, v) = 0, \forall v \in H_0^1(\Omega)$ which proves that the extremal u of F is a generalized solution of the problem (1) — (1a).

2. The Approximation of the Helmholtz Equation. a) *The Ritz variational procedure on rectangular finite elements.* The Ω domain is discretized by the straight lines $x = x_i = ih, y = y_j = jk$ into rectangular finite elements whose vertices form the node grid $\bar{\Omega}_h = \{(x_i, y_j), i = \bar{0}, \bar{I}, j = \bar{0}, \bar{J}; h, k = \text{constant}\}$. We consider a rectangular element (e_1) and a local cartesian frame $C\xi\eta$ (fig. 1), with the axis $C\xi$ and $C\eta$ parallel to Ox and respectively Oy and the origin in the centre $C(x_c, y_c)$ of the element. For the point $P(x, y) \in (e_1)$ we have $x = x_c + \xi, y = y_c + \eta$. We introduce the local dimensionless coordinates in (e_1)

$$\xi = 2 \frac{x - x_c}{h}, \quad \eta = 2 \frac{y - y_c}{k}$$

Fig. 1 shows the coordinates of the vertices of (e_1) in $C\xi\eta$. Let us approximate the exact solution $u \in H_g^1(\Omega)$ (Ω is bounded by a rectangle) of the variational problem for $F(u)$, with functions from the finite dimensional space (from $H^1(\Omega)$):

$$H^{1,h} = \{U | U \in H^1(\Omega), U = \alpha_0^{(i)} + \alpha_1^{(i)}x + \alpha_2^{(i)}y + \alpha_3^{(i)}xy \text{ on } (e_i), i = \bar{1}, \bar{N}\},$$

where N is the number of the elements in Ω and $\alpha_g^{(i)} \in R^1$

Therefore, on (e_1) in the local system $C\xi\eta, u$ will be approximated through the bilinear interpolation polynomial (for U)

$$U(\xi, \eta) = \frac{1}{4} [(1 - \xi)(1 - \eta)u_{i,j} +$$

$$(5) \quad + (1 + \xi)(1 - \eta)u_{i+1,j} + (1 + \xi)(1 + \eta)u_{i+1,j+1} +$$

$$+ (1 - \xi)(1 + \eta)u_{i,j+1}] ;$$

$$(i = \bar{1}, \bar{I} - 1, j = \bar{1}, \bar{J} - 1)$$

where, as u and U are continuous functions on $\Omega, u_{i,j} = U(x_i, y_j) = u(x_i, y_j); u_{i,j}$ are finite values on Ω_h for all i and j .

On (e_1) the minimization functional F , for the function U has the value

$$(6) \quad F^{(e_1)}(U) = \iint_{(e_1)} \left[p \left(\frac{\partial U}{\partial x} \right)^2 + q \left(\frac{\partial U}{\partial y} \right)^2 + rU^2 - 2fU \right] dx dy$$

where $(F^{(e_1)}(U) = T_1 + T_2 + T_3 + T_4; (\partial U / \partial x)^2 \equiv U_x^2$ etc):

$$T_1 = \iint_{(e_1)} p U_x^2 dx dy = \frac{k}{3h} [(u_{i+1,j} - u_{i,j})^2 + (u_{i+1,j+1} - u_{i,j+1})^2 + (u_{i+1,j} - u_{i,j})(u_{i+1,j+1} - u_{i,j+1})] p_{i+1/2, j+1/2};$$

$$T_2 = \iint_{(e_1)} q U_y^2 dx dy = \frac{h}{3k} [(u_{i,j+1} - u_{i,j})^2 + (u_{i+1,j+1} - u_{i+1,j})^2 + (u_{i+1,j+1} - u_{i+1,j})(u_{i,j+1} - u_{i,j})] q_{i+1/2, j+1/2};$$

$$(7) \quad T_3 = - \iint_{(e_1)} f u dx dy = - \frac{hk}{2} (u_{i,j} + u_{i+1,j} + u_{i+1,j+1} + u_{i,j+1}) f_{i+1/2, j+1/2};$$

$$T_4 = \iint_{(e_1)} r U^2 dx dy = \frac{hk}{18} [2(u_{i,j}^2 + u_{i+1,j}^2 + u_{i+1,j+1}^2 + u_{i,j+1}^2) + 2u_{i+1,j}u_{i,j} + u_{i,j}u_{i+1,j+1} + 2u_{i,j}u_{i+1,j+1} + 2u_{i+1,j}u_{i,j+1} + u_{i+1,j}u_{i,j+1} + 2u_{i+1,j+1}u_{i,j+1}] r_{i+1/2, j+1/2}$$

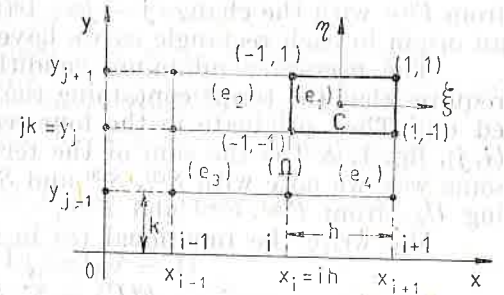


Fig. 1

On the whole domain the minimal value $F(U)$ of the functional will be

$$(8) \quad F(U) = \sum_{i=1}^N F^{(e_i)}(U)$$

where N is the number of the rectangular elements in Ω . The value $F^{(e_2)}$ is obtained from $F^{(e_1)}$ by the index substitution $i \rightarrow i - 1$; $F^{(e_3)}$ is obtained from $F^{(e_1)}$ with the change $i \rightarrow i - 1, j \rightarrow j - 1$; $F^{(e_4)}$ is obtained from $F^{(e_1)}$ with the change $j \rightarrow j - 1$ (the bottom left corner is chosen as an origin in each rectangle as we have done in (e_1)).

The necessary minimum condition (stationarity) $\partial F(U)/\partial U_{i,j} = 0$ requires that the terms containing the nodal value $U_{i,j}$ should be pointed out. They originate in the four rectangles having a common vertex (i, j) , fig. 1. $S^{(1)}$ is the sum of the terms in $F^{(e_1)}$ which contain $U_{i,j}$. In some way we note with $S^{(2)}, S^{(3)}$ and $S^{(4)}$ the sum of the terms containing $U_{i,j}$ from $F^{(e_2)}, F^{(e_3)}$ and $F^{(e_4)}$.

We write the functional (8) in the form

$$F(U) = \sum_{m=1}^4 S^{(m)} + \Sigma_c$$

where Σ_c stands for the sum of the terms which do not contain $U_{i,j}$.

The necessary minimum condition is written in the form

$$(10) \quad \sum_{m=1}^4 \frac{\partial S^{(m)}}{\partial U_{i,j}} = 0, \quad (i = \overline{1, I}; j = \overline{1, J})$$

We calculate the derivatives in (10) by using $F^{(e_m)}$, with $m = \overline{1, 4}$, in which only $S^{(m)}$ is taken into consideration:

$$\begin{aligned} \frac{\partial S^{(1)}}{\partial U_{i,j}} &= \frac{\partial F^{(e_1)}}{\partial U_{i,j}} = \frac{k}{3h} [-2(u_{i+1,j} - u_{i,j}) - u_{i+1,j+1} + u_{i,j+1}] \times \\ &\times p_{i+1/2,j+1/2} + \frac{h}{3k} [-2(u_{i,j+1} - u_{i,j}) - u_{i+1,j+1} + u_{i+1,j}] q_{i+1/2,j+1/2} - \\ &- \frac{hk}{2} f_{i+1/2,j+1/2} + \frac{hk}{18} [4u_{i,j} + 2u_{i+1,j} + u_{i+1,j+1} + 2u_{i,j+1}] r_{i+1/2,j+1/2}; \\ \frac{\partial S^{(2)}}{\partial U_{i,j}} &= \frac{k}{3h} [2(u_{i,j} - u_{i-1,j}) + u_{i,j+1} - u_{i-1,j+1}] p_{i-1/2,j+1/2} + \\ &+ \frac{h}{3k} [-2(u_{i,j+1} - u_{i,j}) - u_{i-1,j+1} + u_{i-1,j}] q_{i-1/2,j+1/2} - \\ &- \frac{hk}{2} [f_{i-1/2,j+1/2} - \frac{1}{9} (4u_{i,j} + 2u_{i-1,j} + 2u_{i,j+1} + u_{i-1,j+1}) r_{i-1/2,j+1/2}]; \\ \frac{\partial S^{(3)}}{\partial U_{i,j}} &= \frac{k}{3h} [2(u_{i,j} - u_{i-1,j}) + u_{i,j-1} - u_{i-1,j-1}] p_{i-1/2,j-1/2} + \\ &+ \dots; \\ \frac{\partial S^{(4)}}{\partial U_{i,j}} &= \frac{k}{3h} [2(u_{i,j} - u_{i+1,j}) - u_{i+1,j-1} u_{i,j-1}] p_{i+1/2,j-1/2} + \\ &+ \dots \end{aligned}$$

By using these derivatives, condition (10) turns into an equation that has nine unknown nodal values, the value $u_{i,j}$ as well as eight values from the nodes neighbouring the node (i, j) . This equation may be interpreted as a finite difference equation.

The Helmholtz equation may be approximated at the point (i, j) by the nine-node finite-difference equation:

$$(12) \quad \Delta_9\{u_{i,j}\} \equiv a_{i-1/2,j+1/2} u_{i-1,j+1} + b_{i-1/2,j+1/2} u_{i,j+1} + a_{i+1/2,j+1/2} u_{i+1,j+1} + \\ + c_{i-1/2,j-1/2} u_{i-1,j} + d_{i,j}^{(4)} u_{i,j} + c_{i+1/2,j-1/2} u_{i+1,j} + \\ + a_{i-1/2,j-1/2} u_{i-1,j-1} + b_{i-1/2,j-1/2} u_{i,j-1} + a_{i+1/2,j-1/2} u_{i+1,j-1} = \\ = \frac{3}{4} (f_{i,j+1} + f_{i+1,j+1} + f_{i-1,j} + f_{i+1,j} + f_{i-1,j-1} + f_{i,j-1} + 2f_{i,j}),$$

$$(i = \overline{1, I-1}; j = \overline{1, J-1})$$

where

$$a = -h^{-2}p - k^{-2}q + \frac{1}{6}r, \quad b = h^{-2}p - 2k^{-2}q + \frac{1}{3}r, \\ c = -2h^{-2}p + k^{-2}q + \frac{1}{3}r, \quad d = 2 \left(h^{-2}p + k^{-2}q + \frac{1}{3}r \right),$$

(*)

$$d_{i,j}^{(4)} = d_{i-1/2,j-1/2}^{i-1/2,j-1/2}; i+1/2,j+1/2; i+1/2,j-1/2}$$

$$u(x_i, y_j) = g(x_i, y_j), \quad (x_i, y_j) \in \Gamma_h$$

here, a convention has been used in writing: several nodes attached to one letter (a, b, c, d) note the summation of the letter at those nodes; e.g. the symbol in (*) stands for the sum of the values of d (d function) at the four fractionary nodes.

b). The Helmholtz equation with constant coefficients. Let us assume that the functions p, q and r get reduced to real constants. We note:

$$(13) \quad \beta = \frac{2}{H} \left(2qh^2 - pk^2 - \frac{1}{3} rh^2k^2 \right), \quad \gamma = -\frac{2}{H} \left(qh^2 - 2pk^2 + \frac{1}{3} rh^2k^2 \right)$$

$$\lambda = \frac{1}{H} \left(qh^2 + pk^2 + \frac{1}{3} rh^2k^2 \right), \quad K = \frac{h^2k^2}{H}, \quad H = qh^2 + pk^2 - \frac{1}{6} rh^2k^2$$

From (12) we obtain the nine node finite difference equation for the Helmholtz equation with constant coefficients:

$$(14) \quad \Delta_9\{u_{i,j}\} \equiv u_{i-1,j+1} + u_{i+1,j+1} + u_{i-1,j-1} + u_{i+1,j-1} + \\ + \beta(u_{i,j-1} + u_{i,j+1}) + \gamma(u_{i-1,j} + u_{i+1,j}) - 8\lambda u_{i,j} = \\ = -\frac{3}{4} K (f_{i,j+1} + f_{i+1,j+1} + f_{i-1,j} + 2f_{i,j} + f_{i+1,j} + f_{i-1,j-1} + f_{i,j-1});$$

$$u(x_i, y_j) = g(x_i, y_j), \quad (x_i, y_j) \in \Gamma_h$$

The equation (14) can be written in the form of the stencil :

$$(15) \quad \begin{array}{|c|c|c|} \hline 1 & \beta & 1 \\ \hline \gamma & -8\lambda & \gamma \\ \hline 1 & \beta & 1 \\ \hline \end{array} u(ih, jk) = -\frac{3}{4} K \begin{array}{|c|c|c|} \hline 0 & 1 & 1 \\ \hline 1 & 2 & 1 \\ \hline 1 & 1 & 0 \\ \hline \end{array} f(ih, jk)$$

where, for example, the mesh in which -8λ is located corresponds to the point (i, j) while the mesh with γ (on the left) corresponds to the point $(i-1, j)$, that is, to the point of coordinates $((i-1)h, jk)$.

c). *The truncation error of equation (14).* Let us rewrite (14) in the form

$$\Delta_9\{u_{i,j}\} = -\frac{3}{4} K \Delta_7\{f_{i,j}\}$$

where $\{\cdot\}$ represents the grid function, whereas Δ represents the finite difference operator on the nine node and respectively seven node stencils, shown in (15).

Returning to the differential equation (1) we let $u(x, y)$ be the value of the exact solution at the point (x, y) and $u(x_i, y_j) = u_{i,j}$. The truncation error $\tau(x_i, y_j)$ of the scheme (14) at (x_i, y_j) is by definition

$$\tau(x_i, y_j) = \Delta_9\{u(x_i, y_j)\}_{(i,j)} + \frac{3}{4} K \Delta_7\{f_{i,j}\}_{(i,j)}$$

$$\text{with } Au(x_i, y_j) - f(x_i, y_j) = 0$$

In order to express the nodal values from the operators Δ_9 and Δ_7 with the help of the values at (x_i, y_j) the Taylor series expansion of two variables is used, in the neighbourhood of node (x_i, y_j) . The following evaluation is obtained

$$\begin{aligned} \tau(x_i, y_j) = & -\frac{6h^2k^2}{H} \left(-p \frac{\partial^2 u}{\partial x^2} - q \frac{\partial^2 u}{\partial y^2} + ru \right)_{(i,j)} + \\ & + \frac{h^2k^2}{2H} [h^2(D_x^4 u - 2rD_x^2 u) + k^2(qD_y^4 u - 2rD_y^2 u) + 2HD_{xy}^2 u]_{(i,j)} + \\ & + \frac{3}{2} \frac{h^2k^2}{2H} [8f + 2(h^2D_x^2 f + k^2D_y^2 f + hkD_{xy}^2 f)]_{(i,j)} + o(h^6 + k^6) \\ & (D_x^2 u = \partial^2 u / \partial x^2, D_{xy}^2 f = \partial^2 f / \partial x \partial y, \text{ etc.}) \end{aligned}$$

Subsequently, the formula

$$\tau(x_i, y_j) = \frac{h^2k^2}{2H} (a_1 h^2 + a_2 k^2 + a_{12} hk)_{(i,j)} + o(h^6 + k^6)$$

is inferred (a_i being read from the previous formula) which leads to :

If the coefficients a_1, a_2, a_{12} (which contain f as well as the derivatives of u and f) are bounded functions (u and f are sufficiently smooth functions) we have

$$\lim_{h,k \rightarrow 0} \tau(x_i, y_j) = 0 \text{ and } \tau(x_i, y_j) = o(h^4 + k^4)$$

that is, scheme (14) is consistent with the Helmholtz partial differential equation (1) with constant coefficients, and approximates this equation with a fourth order error.

d). *Example. Equation (14) test.* Let us consider the laminar motion of a viscous incompressible fluid inside an Ω rectangular section channel (placed in Oxy). This section is bounded by the Γ contour, and in it the velocity $u(x, y)$ of the flow verifies the equation and the Dirichlet condition :

$$(a) \quad \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} = -f_0, \quad (x, y) \in \Omega = (0, a) \times (0, b)$$

$$(b) \quad u(x, y) = 0, \quad (x, y) \in \Gamma$$

$$\left(f_0 = \frac{\Delta p}{l\mu} = \text{const} > 0, \Delta p = p_0 - p_1; \quad l > 0, \mu > 0 \right)$$

where $\Delta p > 0$ is the pressure difference on the l channel length while μ is the dynamic viscosity coefficient.

Let us use scheme (14) for two approximations of the problem (a).

1. We choose $h = a/2, k = b/2$. In this case we have

$$p = q = 1, \quad r = 0, \quad K = \frac{a^2 b^2}{4(a^2 + b^2)}$$

and using the condition (b) scheme is reduced to the equality

$$u_{1,1} = \frac{3}{16} \frac{a^2 b^2}{a^2 + b^2} f_0 \text{ and } u_{1,1} = 0,09375 a^2 f_0 \text{ if } a = b$$

which represents the approximate value of the velocity in the centre of the rectangle and of the square, respectively. For $a = b = 2, f_0 = 1$ we get $u_{1,1} = U_c = 0,375$ (in the units of a and f_0 ; $[f_0] = L^{-1}T^{-1}$).

2. We choose $h = k = a/4$ and the square section $\Omega = (0, a) \times (0, a)$. Then due to the symmetry of the motion, only the $u_{2,2}, u_{2,3}$ and $u_{3,3}$ values will be unknown on the grid Ω_h . We have $\beta = \gamma = \lambda = 1$ and $K = h^2/2 = a^2/32$. Equation (14) leads to the linear and symmetrical system :

$$-2u_{2,2} + u_{2,3} + u_{3,3} = -\frac{3}{64} a^2 f_0$$

$$u_{2,2} - 6u_{2,3} + 2u_{3,3} = -\frac{3}{16} a^2 f_0$$

$$u_{2,2} + 2u_{2,3} - 8u_{3,3} = -\frac{3}{16} a^2 f_0$$

which, using the Gauss elimination method ($m = 3a^2f_0/16$):

$$\begin{bmatrix} -2 & 1 & 1 & -m/4 \\ 1 & -6 & 2 & -m \\ 1 & 2 & -8 & -m \end{bmatrix} \xrightarrow{(1)} \begin{bmatrix} 1 & -5 & 9m/4 \\ -5 & 15 & 9m/4 \end{bmatrix} \xrightarrow{(2)} [140/36m]$$

has the solution

$$u_{3,3} = \frac{36m}{140} = 0,04821a^2f_0;$$

$$u_{2,3} = 0,06027a^2f_0;$$

$$u_{2,2} = 0,07768a^2f_0$$

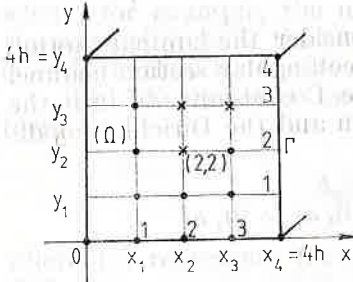


Fig. 2

Let us compare the central value $u_{2,2}$ with the one obtainable by applying the Ritz global method with approximate solution $U \in D(A) = \{U | U \in C^2(\Omega), U|_{\Gamma} = 0\}$ of the form

$$U(x, y) = cxy(x - a)(y - a), \quad 0 \leq x, y \leq a$$

where the constant c is determined by means of the minimum condition of the functional, inferred from (3),

$$F(u) = \iint_{\Omega} [(\nabla u)^2 - 2f_0u] dx dy, \quad \text{for } u = U$$

In the centre of the square we obtain the value $U_c = 5a^2f_0/64 = 0,078125 \cdot a^2f_0$ which is in agreement with the value $u_{2,2}$ given by scheme (14).

Remark. The value U_c is also obtained in [2] by means of the Galerkin method and may be found in the schemes in [1].

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