

REPRESENTATION OF CONTINUOUS LINEAR
 FUNCTIONALS ON SMOOTH NORMED LINEAR SPACES

SEVER SILVESTRU DRAGOMIR

(Timișoara)

Abstract. In this paper we shall give some theorems of representation for the continuous linear functionals on smooth normed linear spaces by use of the semi-inner product in the sense of Lumer [3] and Tapia [5], and the best approximation in normed linear spaces by elements of linear subspaces.

Introduction. DEFINITION 1 ([3], [1] pp. 389). Let X be a real or complex linear space. A mapping $(\cdot, \cdot)_L : X \times X \rightarrow K(\mathbb{R}, \mathbb{C})$ is called semi-inner product in the sense of Lumer or L -semi-inner product, for short, if the following conditions hold :

- (i) $(x + y, z)_L = (x, z)_L + (y, z)_L, \quad x, y, z \in X;$
- (ii) $(\alpha x, y)_L = \alpha(x, y)_L, \quad \alpha \in K, \quad x, y \in X;$
- (iii) $(x, x)_L > 0$ if $x \neq 0;$
- (iv) $|(x, y)_L|^2 \leq (x, x)_L(y, y)_L, \quad x, y \in X;$
- (v) $(x, \lambda y)_L = \bar{\lambda}(x, y)_L, \quad \lambda \in K, \quad x, y \in X.$

For the properties of L -semi-inner product, we send to [1] pp. 386—389, or [2] where further references are given.

DEFINITION 2 ([5], [1] pp. 389). Let $(X, \|\cdot\|)$ be a real normed linear space and $f : X \rightarrow \mathbb{R}, f(x) = \frac{1}{2} \|x\|^2, x \in X.$

Then the mapping :

$$(x, y)_T = (V_+ f)(y) \cdot x = \lim_{t \downarrow 0} \frac{f(y + tx) - f(y)}{t}, \quad x, y \in X;$$

is called semi-inner product in the sense of Tapia or T -semi-inner product, for short.

For the usual properties of T -semi-inner product, we send to [1] pp. 389—393 or [2] where further references are given.

In paper [2] we proved the following results :

LEMMA A. Let $(X, \|\cdot\|)$ be a normed linear space and $(\cdot, \cdot)_L$ a L -semi-inner product which generates the norm $\|\cdot\|$. Then the following conditions are equivalent :

- (i) $(X, \|\cdot\|)$ is a smooth normed linear space ;
 (ii) for every $x, y \in X$ there exist the limits

$$\lim_{t \rightarrow 0} \operatorname{Re}(y, x + ty)_L \quad \text{and} \quad \lim_{t \rightarrow 0} \frac{\operatorname{Re}(x, x + ty)_L - (x, x)_L}{t}.$$

LEMMA B. Let $(X, \|\cdot\|)$ be a smooth normed linear space and $(\cdot, \cdot)_L$ the L -semi-inner product which generates the norm $\|\cdot\|$. Then we have :

$$(1) \quad (y, x)_X = \operatorname{Re}(y, x)_L = \lim_{t \rightarrow 0} \frac{\operatorname{Re}(x, x + ty)_L - (x, x)_L}{t}$$

for all $x, y \in X$.

The following lemma of L -orthogonality holds :

LEMMA C. Let $(X, \|\cdot\|)$ be a smooth normed linear space and $(\cdot, \cdot)_L$ the L -semi-inner product which generates the norm $\|\cdot\|$. If for every $\lambda \in K$, we have :

$$(2) \quad \|x + \lambda y\| \geq \|x\|,$$

then

$$(3) \quad x \perp_L y \text{ i.e. } (y, x)_L = 0.$$

Using the above lemmas, we proved the following two theorems of representation :

THEOREM D. Let $(X, \|\cdot\|)$ be a smooth reflexive Banach space and $(\cdot, \cdot)_L$ the L -semi-inner product which generates the norm $\|\cdot\|$. Then for every E a closed linear subspace in X and for all $x \in X$, there exists $x' \in E$ and $x'' \in E^\perp$ such that

$$(4) \quad x = x' + x'',$$

where E^\perp denote the orthogonal complement in the sense of Lumer of E i.e. the set $\{y \in X \mid y \perp_L x, \text{ for all } x \in E\}$.

THEOREM E. Let $(X, \|\cdot\|)$ be a smooth reflexive Banach space and $(\cdot, \cdot)_L$ the L -semi-inner product which generates the norm $\|\cdot\|$. Then for every $f \in X^*$ there exists an element $u_f \in X$ such that :

$$(5) \quad f(x) = (x, u_f)_L, \quad \|f\| = \|u_f\|, \quad x \in X.$$

In addition, if $f \neq 0$, then the representation element u_f is given by :

$$(6) \quad u_f = \frac{f(w)}{\|w\|^2} w$$

where $w \in \operatorname{Ker}(f)^\perp$ and $w \neq 0$.

COROLLARY 1. Let $(X, \|\cdot\|)$ be a smooth reflexive Banach space over the complex number field. Then for every $f \in X^*$, there exists an element u_f such that :

$$(7) \quad f(x) = (x, u_f)_X - i(x, u_f)_X, \quad \|f\| = \|u_f\|, \quad x \in X.$$

COROLLARY 2. Let $(X, \|\cdot\|)$ be a smooth reflexive Banach space over the complex number field and $(\cdot, \cdot)_L$ the L -semi-inner product which generates the norm $\|\cdot\|$. Then for every $f \in X^*$ there exists an element $u_f \in X$ such that :

$$(8) \quad f(x) = \lim_{t \rightarrow 0} \frac{\operatorname{Re}(u_f, u_f + tx)_L - \|u_f\|^2}{t} - i \lim_{t \rightarrow 0} \frac{\operatorname{Re}(u_f, u_f + itx)_L - \|u_f\|^2}{t}$$

for all $x \in X$ and $\|f\| = \|u_f\|$.

DEFINITION 3. The element $x \in X$ is called orthogonal in the sense of Birkhoff over $y \in X$ iff $\|x + \lambda y\| \geq \|x\|$ for all $\lambda \in K$. We note that $x \perp y$.

Now, let G be a proper linear subspace not dense in X and

$$(9) \quad \mathcal{P}_G(x_0) := \{y_0 \mid \|y_0 - x_0\| = \inf_{y \in G} \|y - x_0\|\} \subset G,$$

the set of all elements of best approximation referring to $x_0 \in X \setminus \bar{G}$

The following lemma of characterization in terms of Birkhoff's orthogonality holds :

LEMMA F. ([4] pp. 85). Let $(X, \|\cdot\|)$ be a normed linear space, G a linear subspace in X , $x_0 \in X \setminus \bar{G}$ and $g_0 \in G$. Then $g_0 \in \mathcal{P}_G(x_0)$ iff $x_0 - g_0 \perp G$.

DEFINITION 4. The proper linear subspace $E \subset X$ is called proximal in X iff for every $x \in X$ the set $\mathcal{P}_E(x)$ is nonvoid.

LEMMA G. ([4] pp. 87). Let $(X, \|\cdot\|)$ be a normed linear space and H a hyperplane in X such that $0 \in H$. Then H is proximal in X iff there exists $z \in X \setminus \{0\}$ such that $z \perp H$.

For details concerning the theory of elements of best approximation in normed linear spaces, we send to monograph [4] due to Ivan Singer.

1. Representation theorems. We shall begin our considerations by the following lemma which completes Lemma C of L -orthogonality.

1.1. LEMMA. Let $(X, \|\cdot\|)$ be a smooth normed linear space and $(\cdot, \cdot)_L$ the L -semi-inner product which generates the norm $\|\cdot\|$. Then the following conditions are equivalent :

- (i) $x \perp y$;
 (ii) $x \perp_L y$.

Proof. The implication "(i) \Rightarrow (ii)" follows by Lemma C.
 "(ii) \Rightarrow (i)". We shall use the following result due to R.C. James (see [4] pp. 85):

THEOREM (R. C. James). *Let $(X, \|\cdot\|)$ be a real normed linear space. Then the following conditions are equivalent:*

- (i) $x \perp \alpha x + y$;
 (ii) $-\tau(x, -y) \leq \alpha \|x\| \leq \tau(x, y)$;

where τ is given by:

$$(1.1.) \quad \tau(x, y) := \lim_{t \rightarrow 0} \frac{\|x + ty\| - \|x\|}{t}, \quad x, y \in X.$$

If X is a smooth normed linear space, by Theorem of James, $x \perp y$ if and only if $\tau(x, y) = 0$ i.e. $(y, x)_T = 0$.

Let now suppose that $x \perp y$. It results $(\lambda y, x)_L = 0$ for all $\lambda \in K$. Since $(\lambda y, x)_T = 0$ for all $\lambda \in K$ (because we have by Lemma B, $(\lambda y, x)_T = \text{Re}(\lambda y, x)_L$) we deduce that

$$\|x + t(\lambda y)\| \geq \|x\| \text{ for all } t \in \mathbb{R} \text{ and } \lambda \in K,$$

from where results $x \perp y$ in the sense of Birkhoff.

The lemma is proven.

Using the above lemma, we can prove the following result which gives a generalization for Theorem D.

1.2. THEOREM. *Let $(X, \|\cdot\|)$ be a smooth normed linear space, $(\cdot, \cdot)_L$ the L-semi-inner product which generates the norm $\|\cdot\|$, and E a closed linear subspace in X . If $x \in X$ and $x' \in E$, then the following conditions are equivalent:*

- (i) *There exists $x'' \in E^\perp$ such that*

$$(1.2.) \quad x = x' + x'';$$

- (ii) $x' \in \mathcal{P}_E(x)$.

Proof. "(i) \Rightarrow (ii)". If $x'' := x - x' \in E^\perp$, then by Lemma 1.1, we have $x'' \perp E$ in the sense of Birkhoff and by Lemma F we deduce that $x' \in \mathcal{P}_E(x)$.

"(ii) \rightarrow (i)". If $x' \in \mathcal{P}_E(x)$ it results $x - x' \perp E$ i.e. there exists $x'' \in E^\perp = E^\perp$ such that $x - x' = x''$ and relation (1.2) holds.

The theorem is proven.

1.3. COROLLARY. *Let $(X, \|\cdot\|)$ be a smooth normed linear space, $(\cdot, \cdot)_L$ the L-semi-inner product which generates the norm and E a closed linear subspace in X . Then the following sentences are equivalent:*

- (i) *for every $x \in X$ there exists $x' \in E$ and $x'' \in E^\perp$ such that*

$$x = x' + x'';$$

- (ii) E is proximal in X .

The proof follows by the above theorem. We omit the details.

1.4. CONSEQUENCES. 1. Let $(X, \|\cdot\|)$ be a smooth normed linear space and E a linear subspace in X such that $S_E := \{g \in E \mid \|g\| \leq 1\}$ is weakly sequentially compact in X . Then for every $x \in X$ there exists $x' \in E$ and $x'' \in E^\perp$ such that (1.2.) holds.

The proof follows by V. Klee's theorem [4] pp. 91 and by the above corollary.

2. If $(X, \|\cdot\|)$ is a smooth normed linear space and E is a finite-dimensional linear subspace in X , then for every $x \in X$, there exists $x' \in E$ and $x'' \in E^\perp$ such that relation (1.2) holds.

The proof follows by Corollary 1.3, since every finite-dimensional linear subspace in X is proximal.

3. Let $(X, \|\cdot\|)$ be a reflexive Banach space with a differentiable norm i.e. a smooth normed linear space, and E a closed linear subspace in X . Then for every $x \in X$ there exists $x' \in E$ and $x'' \in E^\perp$ such that $x = x' + x''$ (see Theorem D.).

4. Let $(X, \|\cdot\|)$ be a normed linear space and suppose that X^* endowed with the canonical norm $\|\cdot\|$, $\|f\| := \sup_{\|x\|=1} |f(x)|$, is a smooth normed linear space. If Γ is a linear subspace in X^* and

- (i) Γ is $\sigma(X^*, X)$ -closed in X^* ;
 or
 (ii) $S_\Gamma := \{g \in \Gamma \mid \|g\| \leq 1\}$ is compact in $\sigma(X^*, X)$;
 or
 (iii) S_Γ is weak* sequentially compact;

then for every $f \in X^*$ there exists $f' \in \Gamma$ and $f'' \in \Gamma^\perp$ such that

$$(1.3) \quad f = f' + f''.$$

The proof results by Corollary 1.3 and by Corollary 2.5, Theorem 2.2 and Theorem 2.3 of [4] pp. 94–95. We omit the details.

Further, we shall establish the main result of this section what gives a necessary and sufficient condition of representation for the continuous linear functionals on smooth normed linear spaces in terms of best approximation.

1.5. THEOREM. *Let $(X, \|\cdot\|)$ be a smooth normed linear space $(\cdot, \cdot)_L$ the L-semi-inner product which generates the norm $\|\cdot\|$, $f \in X^* \setminus \{0\}$ and $g_0 \in \text{Ker}(f)$, $x_0 \in X \setminus \text{Ker}(f)$.*

Then the following sentences are equivalent:

- (i) $f(x) = \left(x, \frac{\overline{f(x_0)}(x_0 - g_0)}{\|x_0 - g_0\|^2} \right)_L, \quad x \in X$;
 (ii) $\|f\| = \frac{|f(x_0)|}{\|x_0 - g_0\|}$;
 (iii) $g_0 \in \mathcal{P}_{\text{Ker}(f)}(x_0)$.

2.1. THEOREM. *Let $(X, \|\cdot\|)$ be a smooth normed linear space, $(\cdot, \cdot)_L$ the L -semi-inner product which generates the norm, G a closed linear subspace in X , $x_0 \in X \setminus G$ and $g_0 \in G$. Then the following conditions are equivalent:*

(i) $g_0 \in \mathcal{P}_G(x_0)$;

(ii) for every $f \in (G \oplus [x_0])^*$ such that $G = \text{Ker}(f)$, we have

$$(2.1) \quad f(x) = \left(x, \frac{\overline{f(x_0)}(x_0 - g_0)}{\|x_0 - g_0\|^2} \right)_L, \quad x \in G \oplus [x_0];$$

(iii) for every $f \in (G \oplus [x_0])^*$ such that $G = \text{Ker}(f)$, we have

$$(2.2) \quad \|f\| = \frac{|f(x_0)|}{\|x_0 - g_0\|}.$$

The proof follows by Theorem 1.5 for the smooth normed linear space $X_{x_0} = G \oplus [x_0]$. We omit the details.

Finally, we have:

2.2. COROLLARY. *Let $(X, \|\cdot\|)$ be a smooth normed linear space, G a closed linear subspace in X . Then the following sentences are equivalent:*

(i) G is proximal in X ;

(ii) for every $x_0 \in X \setminus G$ and $f \in (G \oplus [x_0])^*$ such that $\text{Ker}(f) = G$, there exists $w_{x_0, f} \in G \oplus [x_0]$ such that:

$$(2.3.) \quad f(x) = (x, w_{x_0, f})_L, \quad x \in G \oplus [x_0];$$

(iii) for every $x_0 \in X \setminus G$ and $f \in (G \oplus [x_0])^*$ such that $\text{Ker}(f) = G$, there exists $w_{x_0, f} \in G \oplus [x_0]$ such that

$$(2.4) \quad |f(w_{x_0, f})| = \|f\|_{G \oplus [x_0]} \|w_{x_0, f}\|.$$

REFERENCES

- [1] Dină, G., *Variational Methods and Applications* (Romanian), Ed. Tehnică, București, 1980.
- [2] Dragomir, S. S., *Representation of continuous linear functionals on smooth reflexive Banach spaces*. *L'Analyse numérique et la théorie de l'approximation*, **16** (1987), 19–28.
- [3] Lumer, G., *Semi-inner product spaces*, *Trans. Amer. Math. Soc.*, **100** (1961), 29–43.
- [4] Singer, I., *Best Approximation in Normed Linear Spaces by Elements of Linear Subspaces* (Romanian), Ed. Acad., București, 1967.
- [5] Tapia, R. A., *A characterization of inner product*, *Proc. Amer. Math. Soc.*, **41** (1973) 569–574.

Received 1.XI.1987

Department of Mathematics
University of Timișoara
B-dul V. Pârvan, 4
1900 Timișoara
Romania