

ON THE UNIQUENESS OF EXTENSION
AND UNIQUE BEST APPROXIMATION
IN THE DUAL OF AN ASYMMETRIC NORMED LINEAR SPACE

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Abstract. A well known result of R. R. Phelps (1960) asserts that in order that every linear continuous functional, defined on a subspace Y of a real normed space X , have a unique norm preserving extension it is necessary and sufficient that its annihilator Y^\perp be a Chebyshevian subspace of X^* . The aim of this note is to show that this result holds also in the case of spaces with asymmetric norm.

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1. INTRODUCTION

Let X be a real linear space. A function $\|\cdot\| : X \rightarrow [0, \infty)$ is called an *asymmetric norm* if it satisfies all the usual axioms of a norm, excepting the absolute homogeneity, which is replaced by positive homogeneity, i.e.,

$$\|\lambda x\| = \lambda \|x\|, \quad \forall x \in X, \quad \forall \lambda \geq 0.$$

The asymmetric norm is called with *extended values* if there exists $x \in X$ such that $\|x\| = +\infty$. The pair $(X, \|\cdot\|)$ is called a *space with asymmetric norm* (see [8], [2]).

In a space with asymmetric norm it is possible that $\|x\| \neq \|-x\|$ for some $x \in X$. The asymmetric norm generates a topology having as a neighborhood base the balls $B(x, r) = \{y \in X : \|y - x\| < r\}$, $x \in X$, $r \geq 0$, but the topological space $(X, \tau_{\|\cdot\|})$ is not a linear topological space, because the multiplication by scalars is not a continuous operation (see [2, p. 199]).

Let $X^\#$ be the algebraic dual of X , i.e. the space of all linear functional on X . We say that $f \in X^\#$ is *bounded* on X if

$$(1) \quad \sup \{f(x) : x \in X, \|x\| \leq 1\} < \infty.$$

It is immediate that if $f, g \in X^\#$ are bounded then their sum $f + g$ and the product λf for $\lambda \geq 0$ are bounded too. This shows that the set of all bounded linear functionals on X is a cone (see [2]), or an ac-space, according to [7].

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In general, it is possible that for a bounded linear functional f on $(X, \|\cdot\|)$ the linear functional $-f$ be not bounded. Such an example is given by the functional $f(x) = x(1)$ defined on the space

$$X = \left\{ x : [0, 1] \rightarrow \mathbb{R} \mid x \text{ continuous and } \int_0^1 x(t) dt = 0 \right\}$$

equipped with the asymmetric norm

$$\|x\| = \max \{x(t) : t \in [0, 1]\}, \quad x \in X.$$

Taking $x_n(t) = 1 - nt^{n-1}$ it follows $\|x_n\| = 1$ and $(-f)(x_n) = f(-x_n) = (-x_n)(1) = n - 1$, implying

$$\sup \{(-f)(x) : \|x\| \leq 1\} \geq \sup \{(-f)(x_n) : n \in \mathbb{N}\} = +\infty.$$

For a bounded linear functional f put

$$(2) \quad \|f\| = \sup \{f(x) : x \in X, \|x\| \leq 1\}.$$

It follows that the function $\|\cdot\|$ defined by (2) satisfies the axioms of an asymmetric norm on the cone of all bounded linear functionals on X (see [2]).

Observe that

$$f(x) \leq \|f\| \cdot \|x\|, \quad x \in X,$$

and, since $f(-x) \leq \|f\| \cdot \|-x\|$, one obtains the inequalities

$$-\|f\| \cdot \|-x\| \leq f(x) \leq \|f\| \cdot \|x\|, \quad x \in X.$$

The inequality $|f(x)| \leq \|f\| \cdot \|x\|$ is not true in general, but if we consider the symmetric norm $\|x\| = \max \{\|x\|, \|-x\|\}$ on X , then $|f(x)| \leq \|f\| \|x\|$, $x \in X$. This shows that a bounded linear functional is always continuous with respect to the topology generated by the symmetric norm $\|x\| = \max \{\|x\|, \|-x\|\}$ associated to an asymmetric norm $\|\cdot\|$.

If both f and $-f$ are bounded then the linear functional f is continuous with respect to the topology generated by the asymmetric norm.

Consider on \mathbb{R} the asymmetric norm $u(x) = x \vee 0 = \max \{x, 0\}$ (see [7]). A functional $f : (X, \|\cdot\|) \rightarrow (\mathbb{R}, u)$ is continuous in $x_0 \in X$ if for every $\varepsilon > 0$ there exists $\delta > 0$ such that for every $x \in X$ with $\|x - x_0\| < \delta$ we have $(f(x) - f(x_0)) \vee 0 < \varepsilon$.

It is clear that a linear functional $f : (X, \|\cdot\|) \rightarrow (\mathbb{R}, u)$ is continuous if and only if there exists $M > 0$ such that $f(x) \vee 0 < M \|x\|$.

According to [7], the set

$$(3) \quad X^* = \{f : (X, \|\cdot\|) \rightarrow (\mathbb{R}, u), f \text{ linear continuous}\}$$

is called the *asymmetric dual* of the space with asymmetric norm $(X, \|\cdot\|)$.

If $\|x\| = \max \{\|x\|, \|-x\|\}$ is the norm generated by $\|\cdot\|$ on X and \mathbb{R} is equipped with the usual absolute-value norm $|\cdot|$, then the set

$$(4) \quad X^{*s} = \{f : (X, \|\cdot\|) \rightarrow (\mathbb{R}, |\cdot|), f \text{ linear continuous}\}$$

is the (symmetric) dual of $(X, \|\cdot\|)$. In other words, X^{*s} is the usual topological algebraic dual of the normed space $(X, \|\cdot\|)$, where $\|x\| = \max\{\|x\|, \|-x\|\}$.

Observe that X^* is a cone in the linear space X^{*s} .

Equip the linear space X^{*s} with the extended asymmetric norm

$$\|f\|^{*s} = \sup\{f(x) : \|x\| \leq 1\}$$

whose restriction to the asymmetric dual X^* is

$$\|f\|^* = \sup\{f(x) \vee 0 : \|x\| \leq 1\}.$$

It is important to remark the fact that a linear functional f belongs to X^* if and only if it is an upper semicontinuous linear functional on $(X, \|\cdot\|)$, and that $X^* = \{f \in X^{*s} : \|f\|^{*s} < +\infty\}$ (see [7]).

Let $(Y, \|\cdot\|)$ be a subspace of the space with asymmetric norm $(X, \|\cdot\|)$, and let Y^* and Y^{*s} be the dual cone and the (symmetric) dual of Y , respectively.

The following Hahn–Banach type theorem holds:

THEOREM 1. *Let $(X, \|\cdot\|)$ be a real space with asymmetric norm and $(Y, \|\cdot\|)$ a subspace of it. Then for every $f \in Y^*$ there exists $F \in X^*$ such that*

$$F|_Y = f \quad \text{and} \quad \|F\|^* = \|f\|^*.$$

Proof. For $f \in Y^*$ let $p : X \rightarrow \mathbb{R}$ be defined by $p(x) = \|f\|^* \cdot \|x\|$, $x \in X$.

The functional p is convex, positively homogeneous and $f(y) \leq \|f\|^* \cdot \|y\|$, for every $y \in Y$. By the Hahn–Banach extension theorem ([8, p. 484]) there exists a linear functional $F : X \rightarrow \mathbb{R}$ such that $F|_Y = f$ and $F(x) \leq \|f\|^* \|x\|$, for every $x \in X$. It follows

$$\|F\|^* = \sup\{F(x) \vee 0 : \|x\| \leq 1\} \leq \|f\|^*.$$

On the other hand

$$\begin{aligned} \|F\|^* &= \sup\{F(x) \vee 0 : \|x\| \leq 1, x \in X\} \\ &\geq \sup\{F(y) \vee 0 : \|y\| \leq 1, y \in Y\} \\ &= \sup\{f(y) \vee 0 : \|y\| \leq 1, y \in Y\} \\ &= \|f\|^*, \end{aligned}$$

showing that $\|F\|^* = \|f\|^*$. □

For $f \in Y^*$ denote by

$$\mathcal{E}(f) = \{F \in X^* : F|_Y = f \text{ and } \|F\|^* = \|f\|^*\}$$

the set of all extensions that preserve the asymmetric norm.

By Theorem 1, the set $\mathcal{E}(f)$ is always nonempty.

The problem of finding necessary or/and sufficient conditions in order that every $f \in Y^*$ have a unique norm preserving extension is closely related to a best approximation problem in the space X^{*s} equipped with the asymmetric norm $\|F\|^{*s} = \sup\{F(x) : \|x\| \leq 1\}$.

Concerning the following notions, in the case of usual spaces, one can consult Singer's book [16].

Let $(Y, \|\cdot\|)$ be subspace of $(X, \|\cdot\|)$ and let

$$Y^\perp = \{G \in X^{*s} : G|_Y = 0\}$$

the annihilator of Y in the space $(X^{*s}, \|\cdot\|^{*s})$.

For $F \in X^{*s}$, an element $G_0 \in Y^\perp$ is called a best approximation element for F in Y^\perp if

$$\|F - G_0\|^{*s} = \inf \{ \|F - g\|^{*s} : G \in Y^\perp \} = d(F, Y^\perp).$$

The set of all best approximation elements for F in Y^\perp is denoted by $P_{Y^\perp}(F)$. If $P_{Y^\perp}(F) \neq \emptyset$ for every $F \in X^{*s}$ one says that Y^\perp is *proximal*, and if $\text{card}P_{Y^\perp}(F) = 1$ for every $F \in X^{*s}$, then one says that Y^\perp is *Chebyshevian*.

THEOREM 2. *Let $(X, \|\cdot\|)$ be a space with asymmetric norm and $(Y, \|\cdot\|)$ a subspace of it. Then*

- a) *The annihilator Y^\perp of Y is a proximal subspace of X^{*s} and, for every $F \in X^*$ we have*

$$d(F, Y^\perp) = \|F|_Y\|^*.$$

- b) *An element $G \in Y^\perp$ is in $P_{Y^\perp}(F)$ if and only if $G = F - H$ for some $H \in \mathcal{E}(F|_Y)$, i.e.,*

$$P_{Y^\perp}(F) = F - \mathcal{E}(F|_Y).$$

- c) *The subspace Y^\perp is Chebyshevian in X^{*s} if and only if every functional $f \in Y^*$ has a unique norm preserving extension in X^{*s} .*

Proof. a) Let $F \in X^*$. Then $F|_Y \in Y^*$ and, by Theorem 1, $\mathcal{E}(F|_Y) \neq \emptyset$. If $H \in \mathcal{E}(F|_Y)$ then

$$F|_Y = H|_Y \quad \text{and} \quad \|F|_Y\|^* = \|H\|^*,$$

so that $F - H \in Y^\perp$. We have

$$\|F|_Y\|^* = \|H\|^* = \|H\|^{*s} = \|F - (F - H)\|^{*s} \geq d(F, Y^\perp)$$

and, for any $G \in Y^\perp$,

$$\|F|_Y\|^* = \|F|_Y - G|_Y\|^* \leq \|F - G\|^{*s}.$$

Taking the infimum with respect to $G \in Y^\perp$ we get

$$\|F|_Y\|^* \leq d(F, Y^\perp).$$

It follows that $d(F, Y^\perp) = \|F|_Y\|^*$.

- b) If $G \in P_{Y^\perp}(F)$ then

$$\|F - G\|^{*s} = \|F|_Y\|^*$$

and

$$(F - G)|_Y = F|_Y,$$

which shows that $F - G \in \mathcal{E}(F|_Y)$. The conclusion holds with $H = F - G$.

c) Follows from b). \square

REMARK. 1° Let X^* be the usual topological algebraic dual of the normed space $(X, \|\cdot\|)$, and Y^* the topological algebraic dual of $(Y, \|\cdot\|)$, where Y is a subspace of X . R. R. Phelps [14] showed that Y^\perp (the annihilator of Y in X^*) is Chebyshevian if and only if every $f \in Y^*$ has a unique norm-preserving extension $F \in X^*$.

2° Some Phelps type duality results and applications can be found in [3], and in the bibliography quoted there. \square

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