

ON THE  $L_p$ -SATURATION OF THE YE-ZHOU OPERATOR

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**Abstract.** We solve the saturation problem for a class of Ye-Zhou operator  $T_n(f, x) = P_n(x)A_n L_n(f)$  with suitable sequence of matrices  $\{A_n\}_{n \geq 1}$ . The solution is based on the saturation theorem for the Kantorovich operator established by V. Maier and S. D. Riemenschneider.

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1. INTRODUCTION

For  $f \in C[0, 1]$  the  $n$ th Bernstein polynomial is defined by

$$B_n(f, x) = \sum_{k=0}^n p_{n,k}(x) f\left(\frac{k}{n}\right) \equiv \sum_{k=0}^n \binom{n}{k} x^k (1-x)^{n-k} f\left(\frac{k}{n}\right).$$

D. X. Zhou showed in [6] for the Kantorovich operator

$$(1) \quad K_n(f, x) = \sum_{k=0}^n p_{n,k}(x)(n+1) \int_{k/(n+1)}^{(k+1)/(n+1)} f(t) dt$$

that if  $0 < \alpha < 1$  then  $\omega_2(f, t) = \mathcal{O}(t^\alpha)$  if and only if

$$|K_n(f, x) - f(x)| \leq M(x(1-x)/n + 1/n^2)^{\alpha/2}.$$

He also showed [6] that if  $1 < \alpha < 2$  then there exist no functions  $\{\varphi_{n,\alpha}(x)\}_{n \geq 1}$  such that

$$\omega_2(f, t) = \mathcal{O}(t^\alpha) \Leftrightarrow |K_n(f, x) - f(x)| \leq M\varphi_{n,\alpha}(x).$$

Thus we cannot characterize the second orders of Lipschitz functions by the rate of convergence for the Kantorovich operators. To overcome this difficulty, M. D. Ye and D. X. Zhou [5] introduced a new method of linear approximation by means of matrices: let  $P_n(x) \equiv (p_{n,0}(x), \dots, p_{n,n}(x))$  and

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$L_n(f) \equiv (L_{n,0}(f), \dots, L_{n,n}(f))^T$ , where  $\{p_{n,k}(x)\}_{k=0}^n$  is a bases of the linear space  $\text{span}\{1, x, \dots, x^n\}$  and

$$L_{n,k}(f) = (n+1) \int_{k/(n+1)}^{(k+1)/(n+1)} f(t) dt$$

are functionals on  $C[0, 1]$ , respectively. Then, for any  $(n+1) \times (n+1)$  matrix  $A$  we get the so-called Ye-Zhou operator defined by

$$(2) \quad T_n(f, x) = P_n(x) \cdot A \cdot L_n(f).$$

The aim of the present paper is to solve the saturation problem for the class of operator  $\{T_n(f, x)\}_{n \geq 1}$  for a suitable sequence of matrices  $\{A_n\}_{n \geq 1}$ . By reason of (2), the saturation problem of the Ye-Zhou operator will be in connection with the saturation condition of the Kantorovich operator (see [2], [3], [4]).

## 2. THE CONSTRUCTION OF THE OPERATOR

Let  $A_n = (a_{i,j})_{i,j=0}^n$  be an  $(n+1) \times (n+1)$  matrix with restriction  $a_{i,j} = 0$  for  $|i-j| \geq 2$ . We denote  $a_{i,i} = a_i$ ,  $a_{i,i+1} = b_i$ ,  $a_{i,i-1} = c_i$  and set  $c_0 = b_n = 0$ . Now, we define the matrix  $A_n$  by

$$(3) \quad 0 \leq a_i, b_i, c_i \leq 1 \quad \text{for } i = 0, 1, \dots, n,$$

$$(4) \quad a_i + b_i + c_i = 1 \quad \text{for } i = 0, 1, \dots, n,$$

$$(5) \quad a_i + b_{i-1} + c_{i+1} = 1 \quad \text{for } i = 0, 1, \dots, n \quad (b_{-1} = c_{n+1} = 0),$$

$$(6) \quad |ib_i - (i+1)c_i| \leq \frac{1}{2} \quad \text{for } i = 1, 2, \dots, n-1,$$

$$(7) \quad b_i \leq \frac{M}{n^2} \quad \text{and} \quad c_i \leq \frac{M}{n^2} \quad \text{for } i = 0, 1, \dots, n,$$

with some absolute constant  $M > 0$ .

The existence of a matrix  $A_n$  with the properties (3)–(7) is guaranteed by the following numerical example:

$$\begin{aligned} a_0 &= 1 - \frac{1}{2n^3}, & b_0 &= \frac{1}{2n^3}, \\ a_i &= 1 - b_i - c_i, & b_i &= \frac{i+1}{2n^3}, & c_i &= \frac{i}{2n^3}, & \text{for } i &= 1, 2, \dots, n-1, \\ a_n &= 1 - \frac{1}{2n^2}, & c_n &= \frac{1}{2n^2}. \end{aligned}$$

Then the explicit expression of the Ye-Zhou operator will be the following:

$$(8) \quad T_n(f, x) = \sum_{i=0}^n p_{n,i}(x)(c_i L_{n,i-1}(f) + a_i L_{n,i}(f) + b_i L_{n,i+1}(f)),$$

where  $a_i, b_i, c_i$  ( $i = 0, 1, \dots, n$ ) satisfy the conditions (3)–(7).

**THEOREM 2.1.** *Let  $A_n$  and  $T_n$  be defined as above. Then we have*

- (i)  $T_n$  is a positive operator on  $L_p[0, 1]$ ;

(ii)  $T_n(f, x) = \int_0^1 L_n(x, t) f(t) dt$ , where the kernel

$$L_n(x, t) = \sum_{i=0}^n p_{n,i}(x)(n+1) (c_i \chi_{I_{i-1}}(t) + a_i \chi_{I_i}(t) + b_i \chi_{I_{i+1}}(t))$$

satisfies

$$\int_0^1 L_n(x, t) dx = \int_0^1 L_n(x, t) dt = 1$$

with  $I_i = (i/(n+1), (i+1)/(n+1))$  and  $\chi_{I_i}$  the characteristic function on  $I_i$ , respectively.

*Proof.* (i) it is a direct consequence of (8) and (3);

(ii) a simple calculation shows that

$$\int_0^1 p_{n,i}(x) dx = \frac{1}{n+1} \quad \text{for } i = 0, 1, \dots, n.$$

Then, by (5),

$$\begin{aligned} \int_0^1 L_n(x, t) dx &= \sum_{i=0}^n (c_i \chi_{I_{i-1}}(t) + a_i \chi_{I_i}(t) + b_i \chi_{I_{i+1}}(t)) \\ &= c_{i+1} + a_i + b_{i-1} = 1 \end{aligned}$$

for  $t \in I_i$ ,  $i = 0, 1, \dots, n$ , and

$$\begin{aligned} \int_0^1 L_n(x, t) dt &= \sum_{i=0}^n p_{n,i}(x)(n+1) \left( \frac{c_i}{n+1} + \frac{a_i}{n+1} + \frac{b_i}{n+1} \right) \\ &= \sum_{i=0}^n p_{n,i}(x)(c_i + a_i + b_i) \\ &= 1 \end{aligned}$$

in view of (4). □

**THEOREM 2.2.** For each  $f \in L_p[0, 1]$ ,  $1 \leq p \leq \infty$ , we have

$$\lim_{n \rightarrow \infty} \|T_n(f) - f\|_p = 0.$$

*Proof.* In view of (8), (4) and (1) we obtain

$$\begin{aligned} T_n(f, x) - K_n(f, x) &= \\ &= \sum_{i=0}^n p_{n,i}(x) \{c_i [L_{n,i-1}(f) - L_{n,i}(f)] + b_i [L_{n,i+1}(f) - L_{n,i}(f)]\}. \end{aligned}$$

If  $p = \infty$  then for  $1 \leq i \leq n$  one has

$$\begin{aligned} |L_{n,i}(f) - L_{n,i-1}(f)| &\leq \\ &\leq (n+1) \int_{i/(n+1)}^{(i+1)/(n+1)} |f(t)| dt + (n+1) \int_{(i-1)/(n+1)}^{i/(n+1)} |f(t)| dt \\ &\leq 2 \|f\|_\infty \end{aligned}$$

and for  $0 \leq i \leq n-1$

$$\begin{aligned} |L_{n,i+1}(f) - L_{n,i}(f)| &\leq \\ &\leq (n+1) \int_{(i+1)/(n+1)}^{(i+2)/(n+1)} |f(t)| dt + (n+1) \int_{i/(n+1)}^{(i+1)/(n+1)} |f(t)| dt \\ &\leq 2 \|f\|_\infty. \end{aligned}$$

Thus we have for  $x \in [0, 1]$

$$|T_n(f, x) - K_n(f, x)| \leq \sum_{i=0}^n p_{n,i}(x)(c_i + b_i) 2 \|f\|_\infty \leq \frac{4M}{n^2} \|f\|_\infty$$

in view of (7). Hence

$$(9) \quad \|T_n(f) - K_n(f)\|_\infty \leq \frac{4M}{n^2} \|f\|_\infty.$$

If  $p = 1$  then for  $1 \leq i \leq n$  one has

$$\begin{aligned} |L_{n,i}(f) - L_{n,i-1}(f)| &\leq \\ &\leq (n+1) \int_{i/(n+1)}^{(i+1)/(n+1)} |f(t)| dt + (n+1) \int_{(i-1)/(n+1)}^{i/(n+1)} |f(t)| dt \\ &\leq (n+1) \|f\|_1 \end{aligned}$$

and for  $0 \leq i \leq n-1$ ,

$$\begin{aligned} |L_{n,i+1}(f) - L_{n,i}(f)| &\leq \\ &\leq (n+1) \int_{(i+1)/(n+1)}^{(i+2)/(n+1)} |f(t)| dt + (n+1) \int_{i/(n+1)}^{(i+1)/(n+1)} |f(t)| dt \\ &\leq (n+1) \|f\|_1. \end{aligned}$$

Again, by (7), we have for  $x \in [0, 1]$

$$|T_n(f, x) - K_n(f, x)| \leq \sum_{i=0}^n p_{n,i}(x)(c_i + b_i)(n+1) \|f\|_1 \leq \frac{4M}{n} \|f\|_1.$$

In conclusion

$$(10) \quad \|T_n(f) - K_n(f)\|_1 \leq \frac{4M}{n} \|f\|_1.$$

By the interpolation theorem of Riesz-Thorin we get for  $1 \leq p \leq \infty$  that

$$\|T_n(f) - K_n(f)\|_p \leq \frac{4M}{n} \|f\|_p$$

in view of (9) and (10). Hence we obtain the assertion of the theorem, because

$$\lim_{n \rightarrow \infty} \|K_n(f) - f\|_p = 0, \quad 1 \leq p \leq \infty. \quad \square$$

### 3. THE SATURATION RESULT

The matrix  $A_n$  and the corresponding operators  $T_n$  are determined in Section 2. We can now state our main result:

**THEOREM 3.1.** *Let  $\{T_n\}_{n \geq 1}$  be defined as above.*

(i) *For  $f \in L_p[0, 1]$ ,  $1 \leq p \leq \infty$ , we have*

$$\|T_n(f) - f\|_p = \mathcal{O}(n^{-1})$$

*if and only if*

$$f(x) = k + \int_a^x \frac{h(u)}{\varphi^2(u)} \, du \quad \text{a.e. } x \in [0, 1],$$

*where  $a \in (0, 1)$ ,  $\varphi(x) = \sqrt{x(1-x)}$ ,  $k$  is a constant and  $h(0) = h(1) = 0$ . For  $1 < p \leq \infty$ ,  $h$  is absolutely continuous with  $h' \in L_p[0, 1]$ ; for  $p = 1$ ,  $h$  is of bounded variation on  $[0, 1]$ .*

(ii) *Moreover, if  $\|T_n(f) - f\|_p = o(n^{-1})$  then  $f$  is a.e. constant.*

*Proof.* The proof is based on the ideas of the saturation theorems for Kantorovich polynomials established by Maier [2], [3] and Riemenschneider [4] (see also [1, pp. 315–321]). Therefore we shall prove only the essential steps regarding the operators  $T_n$ . To be more precise, a Maier-type inequality (see [2, p. 225] or [1, p. 315, Lemma 6.1]) and a property in connection with the bilinear functional (16) will be established (see [1, p. 320, Lemma 6.6]).  $\square$

**LEMMA 3.2.** *Let  $g_1(x) = \ln x$ ,  $0 < x \leq 1$ . Then there exists an absolute constant  $C > 0$  such that*

$$(11) \quad |T_n(g_1, x) - g_1(x)| \leq \sum_{j=n+1}^{\infty} \frac{(1-x)^j}{j} + C \sum_{k=0}^n \left[ \frac{1}{(k+1)^2} + \frac{1}{n} \right] p_{n,k}(x).$$

*Proof.* Let  $S_0 = 0$  and  $S_k = \sum_{j=1}^k 1/j$  ( $k = 1, 2, \dots$ ). Then

$$(12) \quad \sum_{k=0}^n (S_n - S_k) p_{n,k}(x) = \sum_{k=1}^n \frac{1}{k} (1-x)^k$$

(see also [2, p. 225]). Because

$$g_1(x) = \ln x = \ln(1 - (1-x)) = - \sum_{k=1}^{\infty} \frac{1}{k} (1-x)^k, \quad x \in (0, 1],$$

and  $b_n = c_0 = 0$ , then, by (8), (4) and (12), we obtain

$$\begin{aligned}
& T_n(g_1, x) - g_1(x) = \\
&= \sum_{k=0}^n p_{n,k}(x) (c_k L_{n,k-1}(g_1) + a_k L_{n,k}(g_1) + L_{n,k+1}(g_1)) \\
&\quad + \sum_{k=0}^n (S_n - S_k) p_{n,k}(x) + \sum_{j=n+1}^{\infty} \frac{(1-x)^j}{j} \\
&= \sum_{k=1}^n p_{n,k}(x) c_k \{L_{n,k-1}(g_1) + (S_n - S_k)\} \\
&\quad + \sum_{k=0}^n p_{n,k}(x) a_k \{L_{n,k}(g_1) + (S_n - S_k)\} \\
&\quad + \sum_{k=0}^{n-1} p_{n,k}(x) b_k \{L_{n,k+1}(g_1) + (S_n - S_k)\} + \sum_{j=n+1}^{\infty} \frac{(1-x)^j}{j} \\
&= \sum_{k=1}^n p_{n,k}(x) c_k \{L_{n,k-1}(g_1) + (S_n - S_{k-1})\} + \sum_{k=1}^n p_{n,k}(x) c_k (S_{k-1} - S_k) \\
&\quad + \sum_{k=0}^n p_{n,k}(x) a_k \{L_{n,k}(g_1) + (S_n - S_k)\} \\
&\quad + \sum_{k=0}^{n-1} p_{n,k}(x) b_k \{L_{n,k+1}(g_1) + (S_n - S_{k+1})\} \\
(13) \quad & + \sum_{k=0}^{n-1} p_{n,k}(x) b_k (S_{k+1} - S_k) + \sum_{j=n+1}^{\infty} \frac{(1-x)^j}{j}.
\end{aligned}$$

On the other hand, by [2, p. 225], one has

$$\begin{aligned}
& |L_{n,k}(g_1) + (S_n - S_k)| = \left| (n+1) \int_{k/(n+1)}^{(k+1)/(n+1)} \ln t \, dt + (S_n - S_k) \right| \\
(14) \quad & \leq \frac{5}{6k^2} + \frac{1}{n+1},
\end{aligned}$$

where  $k = 1, 2, \dots, n-1$  and, by (6) and (3),

$$\left| \sum_{k=1}^n p_{n,k}(x) c_k (S_{k-1} - S_k) + \sum_{k=0}^{n-1} p_{n,k}(x) b_k (S_{k+1} - S_k) \right| =$$

$$\begin{aligned}
&= \left| \sum_{k=1}^n -\frac{c_k}{k} p_{n,k}(x) + \sum_{k=0}^{n-1} \frac{b_k}{k+1} p_{n,k}(x) \right| \\
&= \left| -\frac{c_n}{n} p_{n,n}(x) + \sum_{k=1}^{n-1} \left( -\frac{c_k}{k} + \frac{b_k}{k+1} \right) p_{n,k}(x) + b_0 p_{n,0}(x) \right| \\
&\leq p_{n,0}(x) + \sum_{k=1}^{n-1} \frac{|kb_k - (k+1)c_k|}{k(k+1)} p_{n,k}(x) + \frac{1}{n} p_{n,n}(x) \\
&\leq p_{n,0}(x) + \sum_{k=1}^{n-1} \frac{1}{2k(k+1)} p_{n,k}(x) + \frac{1}{n} p_{n,n}(x) \\
&\leq p_{n,0}(x) + \sum_{k=1}^{n-1} \frac{1}{(k+1)^2} p_{n,k}(x) + \frac{1}{n} p_{n,n}(x) \\
(15) \quad &\leq \sum_{k=0}^{n-1} \frac{1}{(k+1)^2} p_{n,k}(x) + \frac{1}{n} p_{n,n}(x).
\end{aligned}$$

Combining the relations (13), (14), (15) and (3) we arrive at (11).  $\square$

Here we mention that we can deduce for  $T_n$  similar statements to the lemmas established in [1, pp. 316–318, Lemmas 6.2, 6.4 and 6.5] for  $K_n$  from the application of Theorem 1.

Furthermore, to prove the necessity in Theorem 3.1 (i), we have to employ the bilinear functionals

$$(16) \quad A_n(f, \psi) = 2n \int_0^1 [T_n(f, x) - f(x)] \psi(x) dx.$$

LEMMA 3.3. *For each fixed  $\psi \in C^2[0, 1]$  and  $1 \leq p \leq \infty$ , the functionals (16) have bounded norm on  $L_p[0, 1]$ :*

$$(17) \quad \|A_n(\cdot, \psi)\|_p \leq C_\psi.$$

Moreover, there exists the limit

$$(18) \quad \lim_{n \rightarrow \infty} A_n(f, \psi) = \int_0^1 f(x) (\varphi^2 \psi')'(x) dx.$$

*Proof.* We have

$$A_n(f, \psi) = 2n \int_0^1 [K_n(f, x) - f(x)] \psi(x) dx + 2n \int_0^1 [T_n(f, x) - K_n(f, x)] \psi(x) dx.$$

Using [1, p. 320, Lemma 6.6] and (10) we get

$$\begin{aligned}
|A_n(f, \psi)| &\leq \left| 2n \int_0^1 [K_n(f, x) - f(x)] \psi(x) \, dx \right| \\
&\quad + \left| 2n \int_0^1 [T_n(f, x) - K_n(f, x)] \psi(x) \, dx \right| \\
&\leq C' \|f\|_1 + 8M \|\psi\|_\infty \|f\|_1 \\
&\leq C \|f\|_p,
\end{aligned}$$

with  $C$  depending on  $\psi$ . This inequality implies (17).

By [1, p. 320, (6.14)] we have

$$(19) \quad \lim_{n \rightarrow \infty} 2n \int_0^1 [K_n(f, x) - f(x)] \psi(x) \, dx = \int_0^1 f(x) (\varphi^2 \psi')'(x) \, dx,$$

where  $f \in L_p[0, 1]$ . On the other hand, by (4), (7) and  $c_0 = b_n = 0$ , we obtain

$$\begin{aligned}
|2n [T_n(f, x) - K_n(f, x)]| &\leq 2n \left\{ \sum_{i=1}^n p_{n,i}(x) c_i (|L_{n,i-1}(f)| + |L_{n,i}(f)|) \right. \\
&\quad \left. + \sum_{i=0}^{n-1} p_{n,i}(x) b_i (|L_{n,i+1}(f)| + |L_{n,i}(f)|) \right\}
\end{aligned}$$

$$(20) \quad \leq \frac{2M}{n} \left\{ \sum_{i=1}^n p_{n,i}(x) (|L_{n,i-1}(f)| + |L_{n,i}(f)|) + \sum_{i=0}^{n-1} p_{n,i}(x) (|L_{n,i+1}(f)| + |L_{n,i}(f)|) \right\}.$$

Furthermore,  $|L_{n,i}(f)| \leq \|f\|_\infty$  for  $f \in C^1[0, 1]$ . Hence, by (20), one has

$$|2n [T_n(f, x) - K_n(f, x)]| \leq \frac{8M}{n} \sum_{i=0}^n p_{n,i}(x) \cdot \|f\|_\infty = \frac{8M}{n} \|f\|_\infty.$$

Thus

$$\begin{aligned} \left| 2n \int_0^1 [T_n(f, x) - K_n(f, x)] \psi(x) \, dx \right| &\leq 2n \|T_n(f) - K_n(f)\|_\infty \cdot \|\psi\|_1 \\ &\leq \frac{8M}{n} \|f\|_\infty \cdot \|\psi\|_1 \end{aligned}$$

which combined with (19) imply

$$\lim_{n \rightarrow \infty} 2n \int_0^1 [T_n(f, x) - f(x)] \psi(x) \, dx = \int_0^1 f(x) (\varphi^2 \psi')'(x) \, dx =: A(f, \psi).$$

This  $A(f, \psi)$  is a linear functional on  $L_p[0, 1]$ . By the Banach-Steinhaus theorem (see e.g. [1, p. 29]),  $\lim_{n \rightarrow \infty} A_n(f, \psi)$  exists for all  $f \in L_p[0, 1]$  and is given by the integral  $\int_0^1 f(x) (\varphi^2 \psi')'(x) \, dx$ , that is (18).  $\square$

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